FORM ACF-202 – TANF CASELOAD REDUCTION REPORT

State: <u>North Carolina</u>	Fiscal Year to which credit applies: <u>2008</u>

Overall Report <u>X</u> Two-parent Report ___ (check one)	Apply the overall credit to the two-parent <u>X</u> yes participation rate? ____ no

PART 1 –Eligibility Changes Made Since FY 2005
(Complete this section for EACH change)

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1. Name of eligibility change: **TANF NDNH (National Directory of New Hires) Match**

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2. Implementation date of eligibility change: **May 2006**

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3. Description of policy, including the change from prior policy:

New Policy - The National Directory of New Hire (NDNH) is a national database of employment information. NC matches monthly with the New Hires (W-4) database and quarterly with the Unemployment Insurance (UI) database to capture employment data on adult Work First Family Assistance (WFFA) recipients. Information received from the data match information may result in upward or downward revisions in cash assistance payments or transfers to Medicaid programs.

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4. Description of the methodology used to calculate the estimated impact of this eligibility change (attach supporting materials to this form):

The estimated impact of this change was calculated by taking the actual number of cases exiting the Work First Program due to excess income as a result of the verification of W4 or UI (unemployment insurance) match data from the National Directory of New Hires (NDNH). This included cases transferred from the Work First Program to Medicaid as a result of Transfer Codes 6V, 1P, 6Y and 1X and cases closed as a result of Termination Codes 6N and 1A. This policy was piloted between May 2006 and September 2006 throughout four (4) counties in NC. Statewide access to the NDNH match data became available in April 2007, with 82 of 100 counties participating in the NDNH match program by the end of the fiscal year. For FFY2007, the effect of this policy is (-131).

Decay Methodology: To determine the effect of this eligibility change over time, actual cases were reviewed to determine whether any clients who had been removed from the Work First Program due to data from the NDNH match had subsequently returned to the Work First caseload during FFY 2007. This included cases that returned to Work First eligibility based on Approval Codes A0, A1, A2, A3, A6, A7, A8, B6, B7, S1 and S2 and cases that were transferred from Medicaid back to Work First based on Transfer Code 5K. During FFY 2007 there were no instances where clients who had been deemed ineligible for Work First due to NDNH match data returned to Work First eligibility, therefore no decay is reflected in the calculations for the effect of this policy. See Attachment 1 for SQL used in queries to calculate the Caseload Reduction Credit.

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5. Estimated average monthly impact of this eligibility change on caseload in comparison year: -29

PART 2 – Estimate of Caseload Reduction Credit

(Complete Part 2 using Excel Workbook provided.)

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North Carolina   **Fiscal Year to which credit applies:**   **2008**

PART 2 – Estimate of Caseload Reduction Credit

Impact of All Changes   Caseload Reduction Calculation

TANF NDNH	-131	FY 2005 TANF Caseload	33,773
		FY 2005 SSP Caseload	
		Total FY 2005 Caseload	**33,773**
		FY 2007 TANF Caseload	25,882
		FY 2007 SSP Caseload	
		Total FY 2007 Caseload	**25,882**
		Actual Decline	7,891	23.4%
		Decline Net Impact	7,760

Caseload Reduction Credit =   **23.0%**

Net Impact   **-131**

North Carolina
TANF NDNH (National Directory of New Hires) Match

	Impact on Each Month in FY 2007												
	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	
Time of Closure													
Prior years carryover	0												
Oct													
Nov													
Dec													
Jan													
Feb													
Mar													
Apr													
May								-15	-15	-15	-15	-15	
Jun									-17	-17	-17	-17	
Jul										-35	-35	-35	
Aug											-32	-32	
Sep												-32	Grand
													Total
Total	0	0	0	0	0	0	0	-15	-32	-67	-99	-131	-344

FY 2007 monthly average	-29

FORM ACF-202 – TANF CASELOAD REDUCTION REPORT

State: <u>North Carolina</u>   **Fiscal Year to which credit applies: <u>2008</u>**

PART 3 -- Certification

I certify that we have provided the public an appropriate opportunity to comment on the estimates and methodology used to complete this report and considered those comments in completing it. Further, I certify that this report incorporates all reductions in the caseload resulting from State eligibility changes and changes in Federal requirements since Fiscal Year 2005.

_____
(signature)

_____Sherry S. Bradsher_____
(name)

_____Director_____
(title)

SQL	RESULT

-- Select statement for final caseload numbers for 2007

select avg(total_num_of_families)/3 from dba.tanf_sect3_summary where (calendar_year=2006 and calendar_quarter = 4 and case_seqnbr = 2) or (calendar_year=2007 and calendar_quarter = 1 and case_seqnbr = 2) or (calendar_year=2007 and calendar_quarter = 2 and case_seqnbr = 2) or (calendar_year=2007 and calendar_quarter =3 and case_seqnbr = 1)	avg(tanf_sect3_summary.total_num_of_families)/3 25882.33333

-- Select statement for final caseload numbers for 2005

select avg(total_num_of_families)/3 from dba.tanf_sect3_summary where (calendar_year=2004 and calendar_quarter=4 and case_seqnbr=2) or (calendar_year=2005 and calendar_quarter =1 and case_seqnbr=2) or (calendar_year=2005 and calendar_quarter in (2,3))	**avg(tanf_sect3_summary.total_num_of_families)/3** **33773**

-- Select statement for NDNH Change Reason Codes

select report_month, change_reason_code, count (*) from dba.eis_daily_changes where change_reason_code in ('6v','1p','6y','1x') and report_month > '200609' and report_month < '200710' and program_category_code = 'aaf' group by report_month,change_reason_code order by report_month,change_reason_code	report_month change_reason_code count(*) 200705 6Y 15 200706 1X 1 200706 6Y 16 200707 1X 3 200707 6Y 31 200708 1X 5 200708 6Y 25 200709 1X 4 200709 6Y 23

NORTH CAROLINA

Attachment 1 to Form ACF-202

Fiscal Year to which credit applies: <u>2008</u>

--Select statement for NDNH Change Reason Codes wo type

```
select report_month, count (*)
from dba.eis_daily_changes
where change_reason_code in ('6v','1p','6y','1x')
and   report_month > '200609' and  report_month < '200710'
and   program_category_code = 'aaf'
group by report_month
order by report_month
```

report_month	count(*)
200705	**15**
200706	**17**
200707	**34**
200708	**30**
200709	**27**

--Select statement for NDNH termination Reason Codes

```
with EIS(EIS_Case_Id,
       TerminationYYYYMM,
       Termination_Date,
       Report_Month,
       Termination_Reason_Code,
       program_category_code) as (
select eis_case_id,
     string(datepart(year, termination_date)) || case when datepart(month, termination_date) < 10 then '0' || string(datepart(month, termination_date))
     else string(datepart(month, termination_date)) end,
     termination_date,
     report_month,
     termination_reason_code,
     program_category_code
from   dba.eis_case
)
select
     TerminationYYYYMM,
     termination_reason_code,
     count(*)
from eis ndnh
where ndnh.termination_reason_code in ('6N','1A')
and   ndnh.report_month > '200609' and  ndnh.report_month < '200710'
and   ndnh.program_category_code = 'aaf'
and   ndnh.report_month =
     (select min(earliest.report_month)
      from  eis earliest
      where earliest.termination_reason_code in ('6N','1A')
      and   earliest.report_month > '200609' and  earliest.report_month < '200710'
      and   earliest.program_category_code = 'aaf'
      and   earliest.eis_case_id = ndnh.eis_case_id
      and   earliest.termination_date = ndnh.termination_date
      and   earliest.termination_reason_code = ndnh.termination_reason_code)

group by      TerminationYYYYMM,  termination_reason_code
order by TerminationYYYYMM, termination_reason_code
```

TerminationYY	termination_reason_code	count(*)
200707	6N	1
200708	6N	2
200709	1A	1
200709	6N	4

NORTH CAROLINA
Attachment 1 to Form ACF-202
Fiscal Year to which credit applies: <u>2008</u>

report_month	transfers + terms	Total used
'200705'	15 + 0	15
'200706'	17 + 0	17
'200707'	34 + 1	35
'200708'	30+ 2	32
'200709'	27 + 5	32

-- Decay Statement for NDNH
-- Based on Cases previously terminated that received benefits later

```
select distinct decay.eis_case_id, ndnh.report_month, decay.report_month, 'daily'
from dba.eis_daily_changes decay,
    dba.eis_daily_changes ndnh
where
    decay.eis_case_id = ndnh.eis_case_id
and   decay.change_reason_code in ('A1', 'A2', 'A0', 'A6', 'A7', 'A8', 'S1', 'S2', 'B6', 'B7', 'A3', '5K']
and   decay.report_month > '200609' and decay.report_month < '200710'
and   decay.program_category_code = 'aaf'
and   ndnh.change_reason_code in ('6v','1p','6y','1x')
and   ndnh.report_month > '200609' and   ndnh.report_month < '200710'
and   ndnh.program_category_code = 'aaf'
and   ndnh.report_month < decay.report_month
and   decay.report_month = (select min(mindecay.report_month)
                from   dba.eis_daily_changes mindecay
                where  mindecay.eis_case_id = ndnh.eis_case_id
                and    mindecay.change_reason_code in ('A1', 'A2', 'A0', 'A6', 'A7', 'A8', 'S1', 'S2', 'B6', 'B7', 'A3', '5K']
                and    mindecay.report_month > '200609' and mindecay.report_month < '200710'
                and    mindecay.program_category_code = 'aaf'
                and    ndnh.report_month < mindecay.report_month)


union all

select distinct decay.eis_case_id, ndnh.report_month, decay.report_month, 'eiscase'
from dba.eis_case decay,
    dba.eis_case ndnh
where
    decay.eis_case_id = ndnh.eis_case_id
and   decay.termination_reason_code not in ('6N','1A')
and   decay.report_month > '200609' and  decay.report_month < '200710'
```

DECAY

eis_case_id	ndnh report_month	decay report_ 'daily'
no data returned		

```
and   decay.program_category_code = 'aaf'
and   ndnh.termination_reason_code in ('6N','1A')
and   ndnh.report_month > '200609' and  ndnh.report_month < '200710'
and   ndnh.program_category_code = 'aaf'
and   ndnh.report_month < decay.report_month
and   decay.report_month = (select min(mindecay.report_month)
                    from   dba.eis_case mindecay
                    where  mindecay.eis_case_id = ndnh.eis_case_id
                    and    mindecay.termination_reason_code not in ('6N','1A')
                    and    mindecay.report_month > '200609' and mindecay.report_month < '200710'
                    and    mindecay.program_category_code = 'aaf'
                    and    ndnh.report_month < mindecay.report_month)

order by 1, 2, 3, 4
```

-- Sanity Check to see combined details of terminated cases
-- Checking for any duplication between selections and at
-- any Termination - Reinstatement - Termination cases

```
select eis_case_id, report_month, change_reason_code, 'daily'
from dba.eis_daily_changes daily
where
    daily.change_reason_code in ('6v','1p','6y','1x')
and   daily.report_month > '200609' and daily.report_month < '200710'
and   daily.program_category_code = 'aaf'

union all

select eis_case_id, report_month, termination_reason_code, 'eiscase'
from dba.eis_case termcase
where termcase.termination_reason_code in ('6N','1A')
and   termcase.report_month > '200609' and  termcase.report_month < '200710'
and   termcase.program_category_code = 'aaf'

order by 1, 2, 4, 3
```